

### Essay



# Advancing medical research in sub-Saharan Africa: barriers, facilitators, and proposed solutions

Ulrick Sidney Kanmounye, Desmond Tanko Jumbam, Francky Teddy Endomba, Joel Noutakdie Tochie, Aimé Noula Mbonda, Nathalie Christelle Ghomsi, Jan René Nkeck, Stéphane Nguembu, Mazou Temgoua

**Corresponding author:** Ulrick Sidney Kanmounye, Global Neurosurgery Initiative, Program in Global Surgery and Social Change, Harvard Medical School, Boston, MA, USA. ulricksidney@gmail.com

Received: 24 May 2020 - Accepted: 30 May 2020 - Published: 03 Jul 2020

**Keywords:** Africa, grant management, research, science communication

**Copyright:** Ulrick Sidney Kanmounye et al. PAMJ Clinical Medicine (ISSN: 2707-2797). This is an Open Access article distributed under the terms of the Creative Commons Attribution International 4.0 License (https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

**Cite this article:** Ulrick Sidney Kanmounye et al. Advancing medical research in sub-Saharan Africa: barriers, facilitators, and proposed solutions. PAMJ Clinical Medicine. 2020;3(83). 10.11604/pamj-cm.2020.3.83.23716

Available online at: https://www.clinical-medicine.panafrican-med-journal.com//content/article/3/83/full

### Advancing medical research in sub-Saharan Africa: barriers, facilitators, and proposed solutions

Ulrick Sidney Kanmounye<sup>1,2,3,&</sup>, Desmond Tanko Jumbam<sup>4</sup>, Francky Teddy Endomba<sup>5,6</sup>, Joel Noutakdie Tochie<sup>7,8</sup>, Aimé Noula Mbonda<sup>9,10</sup>, Nathalie Christelle Ghomsi<sup>3,11</sup>, Jan René Nkeck<sup>8,12,13</sup>, Stéphane Nguembu<sup>3,14</sup>, Mazou Temgoua<sup>12,13</sup>

<sup>1</sup>Global Neurosurgery Initiative, Program in Global Surgery and Social Change, Harvard Medical School, Boston, MA, USA, <sup>2</sup>Department of Neurosurgery, Faculty of Medicine, Bel Campus University of Technology, Kinshasa, Democratic Republic of Congo, <sup>3</sup>Department of Research, Association of Future African Neurosurgeons, Yaounde,

Cameroon, <sup>4</sup>Operation Smile, Virginia Beach, Virginia, USA, ⁵Psychiatry Internship Program, University of Bourgogne, 21000 Dijon, France, <sup>6</sup>Health Economics & Policy Research Evaluation for Development Results Group, Yaounde. Cameroon. <sup>7</sup>Department Anesthesiology and Critical Care Medicine, Faculty of Medicine and Biomedical Sciences, University of Yaounde I, Yaounde, Cameroon, <sup>8</sup>Human Research Education and Networking, Yaounde, Cameroon, <sup>9</sup>Faculty of Medicine and Biomedical Sciences, University of Yaounde I, Yaounde, Cameroon, <sup>10</sup>District Hospital of Batouri, Batouri, Cameroon, <sup>11</sup>Neurosurgery Unit, Department of Surgery, Felix-Houphouet Boigny University, Abidjan, Côte d'Ivoire, <sup>12</sup>Department of Internal Medicine and



Specialties, Faculty of Medicine and Biomedical Sciences, University of Yaounde I, Yaounde, Cameroon, <sup>13</sup>Success Pro Research Academy, Yaounde, Cameroon, <sup>14</sup>Faculty of Medicine, Higher Institute of Health Sciences, Université des Montagnes, Bangangté, Cameroon

#### <sup>&</sup>Corresponding author

Ulrick Sidney Kanmounye, Global Neurosurgery Initiative, Program in Global Surgery and Social Change, Harvard Medical School, Boston, MA, USA

#### **Abstract**

Sub-Saharan Africa (SSA) bears a considerable disease burden, but its health systems struggle to reduce this burden. Research can help identify the challenges to reducing the disease burden. Research can equally propose solutions to these challenges. Unfortunately, SSA lags behind other regions in terms of research. In this essay, we identify the challenges and propose solutions to advance medical research in SSA. We discuss increasing the medical research workforce and building capacity among young researchers. We equally propose alternative funding solutions for research, and we address scientific communication among African researchers.

### **Essay**

We define African research in this piece as research pertaining to the health and healthcare of Africans and African researchers as those conducting research at African institutions. Sub-Saharan Africa (SSA) is consistently among the regions with the greatest infectious, non-communicable, and injury disease burdens [1-3]. These diseases are slowing down SSA countries' development and weakening their healthcare systems. High-quality medical research can guide clinical practice, inform health policy formulation and implementation. While the disease burden is significantly higher in SSA than other regions, medical research is scarce in SSA compared to other regions [4-6]. The paucity of medical research in Africa is often the result of collaborations with high-income country (HIC) institutions, and African researchers tend to be "stuck as middle authors" in these collaborative research papers [7]. These challenges stifle the development of African researchers, given that research output is a form of academic currency. Academic currency is not equally distributed, and those who lack it have slower career advancement, difficulties securing funding, lesser remuneration, and fewer invitations to national or international conferences [8,9]. Global health stakeholders are aware of these disparities and have called for equitable research practices in the field [10,11]. Unfortunately, the perhaps well-intentioned promotion of equitable research has inadvertently led to "inequitable" research practices like gift authorships and has shifted the burden from SSA governments and researchers to HICs. In this essay, we discuss the current situation of medical research in Africa and the role of African medical scientists in improving the quality and quantity of research.

**Unethical practices:** African research works and publications are mined with arguably unethical practices such as gift authorship and substitution of first and last authors. Although these practices are meant "to promote African researchers," they are, in fact, detrimental since they do not follow



authorship recommendations established by the International Committee of Medical Journal Editors. Unethical research practices generate opprobrium and cast doubts on the capabilities of researchers. Another African trap African researchers must be cautioned against is predatory journals. In an attempt to meet career advancement eligibility criteria, some African researchers have given into predatory journals for quick publications [12]. Unfortunately, there is no comprehensive and up-to-date list of predatory journals. However, researchers can use resources such as Think. Check. Submit. to avoid falling into this trap.

The next generation of researchers: more Africans are getting tertiary education in health-related fields [13]. However, the trends in research productivity do not reflect the growing number of healthcare graduates. Few graduates choose a research path often because they did not have a role model or mentor [14]. Mentorship is crucial to the development of budding researchers [15]. Senior researchers should encourage young researchers to get involved in research early on. Young researchers can start on by submitting manuscripts with simple research no or methodology (case reports, letters to the editor, commentaries, brief communications, and clinical pictures) or be involved in more sophisticated research methods with diverse roles such as data collectors, website and social media managers, and project secretaries. The more a young researcher writes, the more he/she gets acquainted with scientific writing, study conception, study designing, and data analysis. A researcher learns from each research article, and this makes each succeeding manuscript often better than the preceding one by the same researcher. Hence, young researchers can get at it, and the earlier they start, the better [16]. The presentation of scientific research is a common prerequisite for graduating from most tertiary academic institutions in SSA. The outputs from these studies are a valuable data source of African research, but they often end up as grey literature or unpublished valuable scientific wealth. Although it is customary for students of medicine and allied health professions to write a scientific document before they graduate, the research experience of African students is generally limited [17,18]. We must mentor and capacitate these futures graduates on how to disseminate the findings of their studies. Dissemination can be done by uploading unto open access repositories or by publishing as the students' first peer-reviewed paper with them as first authors. This will go a long way to boost their future career and spur them to conduct more research. Peer-review is a valuable experience for young researchers because it improves the quality of their manuscripts and adds credibility to successful publications [19].

Funding and scientific communication: the most commonly reported barrier to research in SSA is the lack of research funding [14,18]. The quantity of funds available for medical research through local institutions is quite limited. Hence, SSA researchers either self-fund or secure funding from an international organization [20]. These research grants are extremely competitive and often require that SSA institutions partner with HIC institutions. Funding determines the domain of interest and consequently sets the public health agenda. Researchers who cannot secure funding might have to choose between a domain they are not passionate about or give up their research plan and even career altogether. For SSA to regain control of its medical research agenda, it must fund its research. It will be difficult for SSA governments to fund all local research projects given their limited resources and competing budgeting priorities. It is, however, possible to rethink the funding model of African medical research. The research community must engage with local foundations and major industry stakeholders to secure funding for research projects. This is feasible if the scientific community of SSA improves its communication strategy. Few African academic institutions and researchers have a sustained presence on social media, and it is uncommon for African scientists to disseminate their findings through non-scientific means (op-eds, TV/Radio appearances, banners, webinars, and visual abstracts), let alone bioinformatics [21].



Lack of communication has contributed to the narrative that African researchers are not capable of scientific prowess and has reinforced the acceptance of foreign expertise to be superior. If African scientists wish to secure public and private funding for medical research locally, then we must make the most of the situation by formulating context-specific sustainable strategies for the advancement of scientific research in Africa. Likewise, it is also important for African researchers to foster collaboration with traditional local media outlets and use social media intelligently. There is a high need for such measures because the African continent is still quite a fertile ground awaiting scientists to exploit it for research. The likelihood of such exploitation to succeed is quite high. Nevertheless, few African researchers still dare to venture. Some African research groups have successfully implemented these strategies. They include Ifakara Research Institute in Tanzania, KEMRI in Kenya, and Noguchi Memorial Institute in Ghana. We must encourage collaborations with these groups and replicate their models.

The grant application and management are essential to securing research funds. Grant applications are incredibly competitive even more so if the funding agency is foreign to Africa [22]. Few African research institutions propose grant development services leaving researchers to fend for themselves [14]. Researchers have to juggle between applying and managing grants, administering research projects, and honoring their pedagogic appointments. Grant applications are complex in themselves, but their management in low-resource settings brings another level of complexity [23]. Funding agencies have numerous firewalls such as strict disbursement measures to avoid the mismanagement of funds. These wellintentioned firewalls can be a hassle for researchers without grant management training. Grants are indispensable for advanced research, especially for high-quality research multinational/multicenter/large scale randomized Furthermore, controlled trials. for African researchers, grant application skills are just as critical as research skills. African researchers who

lack access to grant development services must learn the basics of grant application and management.

**Leveraging the internet:** the internet is the greatest ally of African medical research, and Africans must make the most of it. There is a plethora of free of charge software and online research capacity building courses available to researchers on the internet. Statistical software packages like R and Epi Info and their tutorials are available free of charge [24]. Also, Coursera, Udemy, and edX offer free courses while Google, Trello, and Slack offer free of charge software that can facilitate and improve on scientists' learning and their overall organization. Unfortunately, these free resources are often not accessible because few African universities offer free internet access to their students and researchers [25]. Moreover, it is not uncommon for professors to ask studentresearchers to print out documents and meet them at distant physical locations and unsociable hours to get feedback on projects when sharing the document on Google Docs will eliminate the need for this time-wasting and energy-sapping. The barriers mentioned above slow down research progress and can discourage young scientists from pursuing a career in research. African medical researchers must learn to use the internet to their advantage in a cost-effective manner, given that its use is often at their expense.

Stakeholders in African research: medical research requires ethical approval from Institutional Review Board (IRB). This step can be a limiting factor for most African scientists, especially when this entails experimental clinical research. Most IRBs in SSA do not meet regularly, and the cost of IRB approval can be prohibitive for local researchers [26]. These costs often make it easier for foreign researchers to run studies locally, creating an inequity. A solution to these barriers may be the allocation of public funds to IRB applications by local researchers. This has the advantage of facilitating the management of IRBs and ensuring researchers conduct their studies at a reduced IRB cost and strict respect of the principles



of research ethics as regulated by the IRB [27]. Other research stakeholders need to be supported - these are the local journals. These journals tend to have lower impact factors than HICs' journals. SSA researchers have to support regional journals and improve the quality of research published in them as part of advancing medical research in Africa. Also, all researchers should volunteer as reviewers for SSA journals so that they can help to shorten peer-review durations and enrich the scientific discourse. SSA researchers cannot publish exclusively in local scientific journals. It is difficult, especially for middle career researchers, to choose less prestigious journals. All research should aim to have the greatest impact. Often this impact is maximal when the research findings are available to the local community, and it is cited several times by other researchers. This impact can be evaluated through the h-index of the researcher on Scopus. Fortunately, most African journals are open access to everyone, and nobody is compelled to pay any fees to get access to the study [28,29]. This is a positive attitude that is commendable and should encourage African researchers whenever possible to submit their manuscripts to open access journals for the benefit of all Africans, of which the majority a poverty-stricken.

Finally, African medical research will benefit from collaborations between its institutions from different African countries and overseas. Research collaborations promote the exchange of ideas and the sharing of resources. Nevertheless, African research institutions collaborate institutions more often than they collaborate with other SSA institutions. Collaborations between African institutions should be encouraged as they focus on themes that are germane to SSA countries and are context-specific to the SSA region. An example of this is the African Surgical Outcomes Study by Biccard et al. [30] that evaluated 7-day perioperative mortality rates in 247 African hospitals. Biccard et al. recruited 11,422 patients from 27 African countries with the help of 418 researchers. Through this study, Biccard et al. proved that SSA researchers could successfully run a multicentric clinical study by collaborative efforts. African Researchers can learn from the experience of Biccard *et al.* and expand the African research collaborative model to cover more medical specialties and more countries.

#### **Conclusion**

SSA will play a significant role in medical research if it can tap into its potential. In this essay, we highlighted challenges to medical research and suggested solutions.

### **Competing interests**

The authors declare no competing interests.

#### **Authors' contributions**

Ulrick Sidney Kanmounye: conceptualization, administration, writing original draft and review and editing. Desmond Tanko Jumbam, Joel Tochie Noutakdié, Francky Teddy Endomba, Aimé Noula Mbonda, Nathalie Ghomsi, Jan René Nkeck, Stéphane Nguembu and Mazou Temgoua: writing original draft and review and editing. All the authors have read and agreed to the final manuscript.

#### References

- Michaud CM. Global Burden of Infectious Diseases. Encyclopedia of Microbiology. 2009;444-454. PubMed
- Bigna JJ, Noubiap JJ. The rising burden of non-communicable diseases in sub-Saharan Africa. The Lancet Global Health. 2019;7(10): e1295-e1296. PubMed | Google Scholar
- Haagsma JA, Graetz N, Bolliger I, Naghavi M, Higashi H, Mullany EC et al. The global burden of injury: incidence, mortality, disability-adjusted life years and time trends from the Global Burden of Disease study 201 Injury Prevention. 2016;22(1): 3-18. PubMed | Google Scholar



- Nafade V, Nash M, Huddart S, Pande T, Gebreselassie N, Lienhardt C et al. A bibliometric analysis of tuberculosis research, 2007-2016. PLoS ONE. 2018;13(6): e0199706. PubMed | Google Scholar
- Sweileh WM. Global research output on HIV/AIDS-related medication adherence from 1980 to 2017. BMC Health Services Research. 2018;18(1): 765. PubMed | Google Scholar
- Beattie P, Renshaw M, Davies CS. Strengthening Health Research in the Developing World: Malaria research capacity in Africa. London. Wellcome Trust. 1999.
- 7. Hedt-Gauthier BL, Jeufack HM, Neufeld NH, Alem A, Sauer S, Odhiambo J *et al*. Stuck in the middle: a systematic review of authorship in collaborative health research in Africa, 2014-2016. BMJ Global Health. 2019;4(5): e001853. **PubMed** | **Google Scholar**
- 8. Ooms W, Werker C, Hopp C. Moving up the ladder: heterogeneity influencing academic careers through research orientation, gender, and mentors. Studies in Higher Education. 2019;44(7): 1268-1289. Google Scholar
- Hedt-Gauthier B, Airhihenbuwa CO, Bawah AA, Burke KS, Cherian T, Connelly MT et al. Academic promotion policies and equity in global health collaborations. The Lancet. 2018;392(10158): 1607-1609. PubMed| Google Scholar
- 10. Boum II Y, Burns BF, Siedner M, Mburu Y, Bukusi E, Haberer JE. Advancing equitable global health research partnerships in Africa. BMJ Glob Health. 2018 Aug 23;3(4): e000868. PubMed Google Scholar
- 11. Gautier L, Sieleunou I, Kalolo A. Deconstructing the notion of "global health research partnerships" across Northern and African contexts. BMC Med Ethics. 2018 Jun 15;19(Suppl 1): 49. PubMed | Google Scholar

- 12. Thomas A. African academics are being caught in the predatory journal trap. The Conversation. 2015. Accessed 20 May 2020.
- 13. UNESCO Institute of Statistics. Data for the Sustainable Development Goals. Accessed 20 May 2020.
- 14. Dhalla KA, Guirguis M. Barriers and incentives for conducting research amongst the ophthalmologists in Sub-Sahara Africa. PLOS ONE. 2018;13(10): e0197945. PubMed | Google Scholar
- 15. Straus SE, Johnson MO, Marquez C, Feldman MD. Characteristics of Successful and Failed Mentoring Relationships: a Qualitative Study Across Two Academic Health Centers. Acad Med. 2013;88(1): 82-89. PubMed Google Scholar
- 16. Viglianti EM, Admon AJ, Carlton EF, Hensley MK, Prescott HC, Iwashyna TJ et al. Publishing a clinical research manuscript: guidance for early-career researchers with a focus on pulmonary and critical care medicine. Chest. 2019;156(6): 1054-1061. PubMed | Google Scholar
- 17. Ibrahim A, Asuku ME. Stimulating medical students interest in research: a neglected craft in Africa. The Pan African Medical Journal. 2012;13: 12. PubMed| Google Scholar
- 18. Kokwaro G, Kariuki S. Medical research in Africa: problems and some solutions. Malawi Med J. 2001;13(3): 40. PubMed | Google Scholar
- 19. Kelly J, Sadeghieh T, Adeli K. Peer review in scientific publications: benefits, critiques & a survival guide. EJIFCC. 2014;25(3): 227-243. PubMed | Google Scholar
- 20. Viergever RF, Hendriks TCC. The 10 largest public and philanthropic funders of health research in the world: what they fund and how they distribute their funds. Health Research Policy and Systems. 2016;14: 12. PubMed | Google Scholar
- 21. Osirike P. The beginning of a new era: science communication in Africa AfricArXiv. 2019. Accessed 20 May 2020.



- 22. Ogunbanwo JA, Tsoka J, Egan A. Strengthening grant applications from Africa. Trends Parasitol. 2001;17(4): 162-163. PubMed | Google Scholar
- 23. Holmes CB, Sikazwe I, Raelly R, Freeman B, Wambulawae I, Silwizya G et al. Managing multiple funding streams and agendas to achieve local and global health and research objectives: lessons from the field. J Acquir Immune Defic Syndr. 2014;65(01): S32-S35. PubMed | Google Scholar
- 24. List of open-source health software. Wikipedia. 2020. Accessed 24 May 2020.
- 25. World Bank Group. Quick guide to Internet connectivity issues in African universities. Accessed 20 May 2020.
- 26. Conradie A, Duys R, Forget P, Biccard BM.
  Barriers to clinical research in Africa: a
  quantitative and qualitative survey of
  clinical researchers in 27 African countries.
  Br J Anaesth. 2018;121(4): 813-821.
  PubMed | Google Scholar

- 27. Kruger M, Ndebele P, Horn L. Research ethics in Africa: a resource for research ethics committees. 2014. **Google Scholar**
- 28. DOAJ. Directory of Open Access Journals. Accessed 20 May 2020.
- 29. Solomon D, Björk BC. Article processing charges for open access publication-the situation for research intensive universities in the USA and Canada. PeerJ. 2016 Jul 21;4: e2264. PubMed | Google Scholar
- 30. Biccard BM, Madiba TE, Kluyts HL, Munlemvo DM, Madzimbamuto FD, Basenero A *et al.* Perioperative patient outcomes in the African Surgical Outcomes Study: a 7-day prospective observational cohort study. The Lancet. 2018;391(10130): 1589-1598. **PubMed | Google Scholar**